# Models of Rotary Vacuum Drum and Disc Filters for Flocculated Suspensions

# Anthony D. Stickland

Particulate Fluids Processing Centre, Dept. of Chemical and Biomolecular Engineering, The University of Melbourne, Victoria 3010, Australia

# Lee R. White

School of Mathematics and Statistics, University of South Australia, Mawson Lakes, South Australia 5095, Australia

# Peter J. Scales

Particulate Fluids Processing Centre, Dept. of Chemical and Biomolecular Engineering, The University of Melbourne, Victoria 3010, Australia

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Models for rotary drum and disc filtration of compressible suspensions are developed using one-dimensional compressional rheology theory. The models account for cake formation while the drum or disc is submerged in the feed slurry, saturated cake consolidation upon surfacing due to capillary pressure, and cake desaturation. Desaturation does not occur for vacuum pressures below a critical value given by the material properties, or is incomplete if the formation and consolidation angles are too large. The disc filter model is formulated by applying the drum filter solutions to concentric annuli. The effect of different operating parameters such as submerged depth, rotational rate, slurry concentration, and vacuum pressure are investigated. The throughput varies linearly or quadratically with the drum or disc radius respectively, proportionally with the square-root of the angular rotational speed and linearly with the submerged angle. The angles for cake consolidation and desaturation are independent of the rotational rate. © 2010 American Institute of Chemical Engineers AIChE J, 57: 951–961, 2011

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#### Introduction

stad@unimelb.edu.au.

Continuous rotary vacuum drum and disc filters are used in many industries to perform suspension dewatering. Models of these devices allow prediction of their performance and optimization of the operating conditions, and provide a basis for design. As illustrated in Figure 1, a drum filter essentially consists of a rotating cylindrical filter membrane

Correspondence concerning this article should be addressed to A. D. Stickland at

(radius  $r_d$ , length L, angular speed  $\omega$ ) that is partly submerged (subtending angle  $\theta_F$ ) in a slurry bath (at solids vol-

ume fraction  $\phi_0$ ). The inside of the drum is held at pressure

 $p_0 - \Delta p$ , where  $p_0$  is the ambient pressure. The differential

angle  $\theta_{\rm F}$  in slurry of volume fraction  $\phi_0$ , where filter cake is

deposited on the exterior surfaces (see Figure 2—the

pressure,  $\Delta p$ , causes filter cake to form on the membrane while the drum is submerged, followed by cake consolidation ( $\theta_{\rm C}$ ) and desaturation ( $\theta_{\rm D}$ ). A knife or blade is positioned ( $\theta_{\rm k}$ ) to scrape the product from the membrane. The related disc filter consists of a bank of M porous rotating vertical discs (radius  $r_{\rm d}$ , angular speed  $\omega$ ) submerged by

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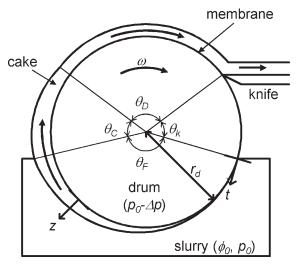


Figure 1. Schematic of vacuum drum filter.

subscripts in Figure 2 are described later). The differential pressure between the internal and external surfaces of the disc is  $\Delta p$ . Rotating vacuum filters may also include cake washing and air blowing features, but these are not considered here.

Models for the prediction of the performance of rotary vacuum filters have developed using incompressible descriptions of material behavior. 1-5 Although they do include the analysis of important issues such as membrane resistance, drum/disc partitioning and hydrostatic head, they have limited use for compressible materials such as flocculated suspensions. Buscall and White<sup>6</sup> developed a phenomenological model for the dewatering of compressible materials in which the solid phase forms a continuous network of volume fraction  $\phi$  that has a physical strength called the compressive yield stress,  $p_{\nu}(\phi)$ . The network yields if  $p_{\nu}(\phi)$  is exceeded and the rate at which fluid exudes is determined by the solid-liquid drag or hindered settling function,  $R(\phi)$ . This description has been used to model saturated dewatering processes such as dead-end pressure filtration, batch thickening<sup>8</sup> and batch centrifugation,<sup>9</sup> for example.

During dewatering by air displacement or vacuum pressure, particulate networks also exhibit a capillary pressure,  $p_{\rm cap}$ , at the air-liquid interface due to surface tension and the curvature of the particles. As with  $p_{\rm y}$ , the maximum capillary pressure,  $p_{\rm cap}^{\rm max}$ , is also a function of  $\phi$ . The two functions intercept at the critical concentration,  $\phi_{\rm cap}$ . The cake will consolidate if  $p_{\rm y}(\phi) < \Delta p \leq p_{\rm cap}^{\rm max}(\phi)$  and desaturate if  $\Delta p > p_{\rm cap}^{\rm max}(\phi)$ . This approach was used to model the consolidation and desaturation of compressible materials due to drying 11,12 and centrifugal filtration, 13 and is the basis for a model of one-dimensional vacuum filtration. Incompressible vacuum filtration models 15-17 describe only the drainage of interstitial fluid and fail to account for consolidation.

This work details the formulation of vacuum drum and disc filter models for compressible materials. The conservation of mass and momentum equations are given, boundary conditions outlined and solutions presented for cake formation, consolidation, and desaturation. The drum filter model is extended for use with vacuum disc filters by dividing the

disc into concentric annuli and applying the drum filter model to individual pieces (see Figure 2). Model results are presented to illustrate the effect of operating parameters  $\theta_{\rm F}$ ,  $\omega$ ,  $\Delta p$ , and  $\phi_0$ .

# **Theory**

The vacuum filtration model presented here assumes that the cake is thin enough to ignore the macroscopic radial geometry of the problem, such that the cake formation, consolidation, and desaturation processes are regarded as one-dimensional from the surface of the drum or disc using a normal coordinate, z, measured from the membrane surface. The angular position is given by t, where t=0 is the position where the membrane is first submerged in the bath. t is simply the ratio of the arc length and the angular velocity at a particular position:

$$t = \frac{\theta}{\omega} \tag{1}$$

Therefore,  $\theta_{\rm F}$ ,  $\theta_{\rm C}$ ,  $\theta_{\rm D}$ , and  $\theta_{\rm k}$  map to  $t_{\rm F}=\theta_{\rm F}/\omega$ ,  $t_{\rm C}=(\theta_{\rm F}+\theta_{\rm C})/\omega$ ,  $t_{\rm D}=(\theta_{\rm F}+\theta_{\rm C}+\theta_{\rm D})/\omega$ , and  $t_{\rm k}=(2\pi-\theta_{\rm k})/\omega$ , respectively.

# Solid-liquid separation

The compressional rheology model of suspension dewatering  $^{6,18}$  balances the hydrodynamic, hydrostatic, network pressure, and acceleration forces acting upon a volume element of suspension. Ignoring gravity, one form of the transient conservation of momentum equations for the solid and liquid phases in one-dimension (z) is given by Eqs. 2 and 3:

$$\frac{\phi}{1-\phi}R(\phi)(u_{\rm s}-u_{\rm l}) - \frac{\partial p_{\rm s}}{\partial z} = 0 \tag{2}$$

$$\frac{\partial p_{\rm l}}{\partial z} + \frac{\partial p_{\rm s}}{\partial z} = 0 \tag{3}$$

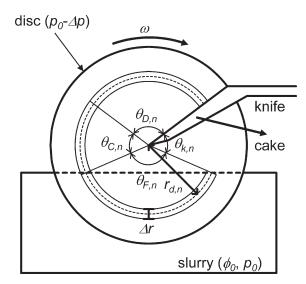


Figure 2. Schematic of vacuum disc filter.

 $u_{\rm s}-u_{\rm l}$  is the local velocity of the solids relative to the liquid, and  $p_{\rm s}$  and  $p_{\rm l}$  are the local solid and liquid pressures. The conservation of mass equations for the two phases (assuming incompressibility of the individual phases) are given by Eqs. 4 and 5:

$$\frac{\partial \phi}{\partial t} = \frac{\partial (\phi u_{\rm s})}{\partial z} \tag{4}$$

$$\frac{\partial (1 - \phi)}{\partial t} = \frac{\partial ((1 - \phi)u_{\rm l})}{\partial z} \tag{5}$$

Adding Eqs. 4 and 5 and integrating gives the conservation of suspension:

$$\phi u_{s} + (1 - \phi)u_{l} = s(t) \tag{6}$$

s(t) is the bulk flow, which is equal to the specific flowrate of filtrate, dv/dt (where v is the specific volume of filtrate at time t). Substituting Eq. 6 into Eq. 2 gives:

$$\frac{\phi}{(1-\phi)^2}R(\phi)(u_s-s) - \frac{\partial p_s}{\partial z} = 0 \tag{7}$$

Eliminating  $\phi u_s$  from Eqs. 4 and 7 gives

$$\frac{\partial \phi}{\partial t} = \frac{\partial}{\partial z} \left[ \frac{(1 - \phi)^2}{R(\phi)} \frac{\partial p_s}{\partial z} + \phi s \right]$$
 (8)

If the drainage of the suspending fluid is rate-determining rather than the breaking and/or reformation of interparticle bonds, then  $p_s \leq p_y(\phi)$ . The network collapses only when  $p_s$  exceeds  $p_y(\phi)$  and is then equal to  $p_y(\phi)$ . Substituting  $p_y(\phi) = p_s$  into Eq. 8 gives the governing equation for one-dimensional pressure filtration<sup>18</sup>:

$$\frac{\partial \phi}{\partial t} = \frac{\partial}{\partial z} \left[ D(\phi) \frac{\partial \phi}{\partial z} + \phi s \right] \tag{9}$$

 $D(\phi)$  is the solids diffusivity and is defined as:

$$D(\phi) = \frac{(1-\phi)^2}{R(\phi)} \frac{dp_y(\phi)}{d\phi}$$
 (10)

#### Cake formation

The feed slurry is assumed to be well-mixed, such that there is no sedimentation in the slurry bath and the concentration is constant. The initial conditions for the problem are:

$$\phi(z,0) = \phi_0$$
 $v(0) = 0$ 
 $z_c(0) = 0$  (11)

 $z_{\rm c}(t)$  is the cake height. The assumptions are made that  $\phi_0 < \phi_{\rm g}$  and that any material that is in the cake stays in the cake as the drum rotates out of the slurry bath. A model for  $\phi_0 \ge \phi_{\rm g}$  would require a rheological model of the film-splitting process, combining the shear and compressional behavior of

the network as the cake is lifted from the bath and slumps back into the bath. This is beyond the scope of this work.

There is no network above the cake; therefore the top of the cake at  $z_c$  is at the gel point,  $\phi_g$  (the concentration at which a network forms,  $p_v(\phi_g) = 0$ ):

$$\phi(z_{\rm c},t) = \phi_{\rm o} \tag{12}$$

Material above the cake  $(z > z_{\rm c})$  remains at  $\phi_0$ . The concentration gradient at  $z_{\rm c}$  is given by integrating the solids conservation (Eq. 4) across the discontinuity at  $z_{\rm c}(t)$  and rearranging:

$$\left. \frac{\partial \phi}{\partial z} \right|_{z_{c}} = -\frac{\phi_{g} - \phi_{0}}{D_{g}} \left( \frac{dz_{c}}{dt} + s \right) \tag{13}$$

 $D_{\rm g}$  is  $D(\phi_{\rm g})$ .

If it is assumed that the membrane resistance is negligible compared with the cake resistance<sup>20</sup> and that the hydrostatic head is small compared with the vacuum pressure (that is, either filtrate remains in the drum, the slurry is not deep or the vacuum pressure is large,  $\Delta p \gg \rho_1 \mathrm{gr_d}(1-\cos(\theta_{\mathrm{F}}/2))$ , then the boundary condition at the membrane surface (z=0) is simply that  $p_{\mathrm{s}}$  is equal to the differential pressure,  $\Delta p$ . As  $p_{\mathrm{s}}=p_{\mathrm{y}}(\phi)$ , the concentration at the membrane is constant:

$$\phi(0,t) = \phi_{\infty} \tag{14}$$

 $\phi_{\infty}$  is the equilibrium solids volume fraction and is defined by  $p_y(\phi_{\infty}) = \Delta p$ . Significant membrane resistance can be incorporated<sup>7</sup> but is not further investigated here for brevity. Note that this model is also valid for positive applied pressures, that is, the pressure is applied to the outside rather than a vacuum applied to the inside of the drum, in which case pressures greater than atmospheric can be used.

The particle flux at the membrane is zero such that, from Eqs. 7, 10, and 14, the concentration gradient at the membrane is:

$$\left. \frac{\partial \phi}{\partial z} \right|_{0} = -s \frac{\phi_{\infty}}{D_{\infty}} \tag{15}$$

 $D_{\infty}$  is  $D(\phi_{\infty})$ .

An exact similarity solution exists for this problem, analogous to cake formation in the dead-end filtration case, <sup>20</sup> which is an important result showing that the parabolic rule for filtration below the gel point holds for compressible as well as incompressible materials, regardless of the constitutive equation used to describe the network strength or liquid drag. The similarity variable, *X*, takes the form:

$$X(z,t) = \frac{z}{z_c(t)} \tag{16}$$

such that

$$\phi(z,t) = \begin{cases} \Phi(X) & z \le z_{c}(t) \\ \phi_{0} & z > z_{c}(t) \end{cases}$$
(17)

The similarity solution exists for  $z_c$  and s varying with the square-root of t:

$$z_{c}(t) = \gamma \sqrt{t}$$

$$s(t) = \frac{\alpha}{z_{c}(t)}$$
(18)

Making the change of variables to X in Eq. 9 and substituting Eq. 18 gives an ordinary differential equation for the local concentration as a function of X:

$$\frac{d}{dX}\left(D(\Phi)\frac{d\Phi}{dX}\right) + \left(\frac{\gamma^2}{2}X + \alpha\right)\frac{d\Phi}{dX} = 0 \tag{19}$$

The boundary conditions become:

$$\begin{split} &\Phi(0) = \phi_{\infty} \quad \frac{d\Phi}{dX} \bigg|_{0} = -\alpha \frac{\phi_{\infty}}{D_{\infty}} \\ &\Phi(1) = \phi_{g} \quad \frac{d\Phi}{dX} \bigg|_{1} = -\left(\alpha + \frac{\gamma^{2}}{2}\right) \frac{\phi_{g} - \phi_{0}}{D_{g}} \end{split} \tag{20}$$

The problem is further simplified by changing variables from X to  $\eta$ , defined as:

$$\eta = \left(\alpha + \frac{\gamma^2}{2}\right)(1 - X) \tag{21}$$

The ordinary differential equation (Eq. 19) becomes:

$$\frac{d}{d\eta} \left( D(\Phi) \frac{d\Phi}{d\eta} \right) - \left( 1 - \frac{\delta^2}{2} \eta \right) \frac{d\Phi}{d\eta} = 0 \tag{22}$$

where  $\delta$  is:

$$\delta = \left(\frac{\alpha}{\gamma} + \frac{\gamma}{2}\right)^{-1} \tag{23}$$

The boundary conditions become:

$$\Phi(0) = \phi_{g} \frac{d\Phi}{d\eta} \Big|_{0} = \frac{\phi_{g} - \phi_{0}}{D_{g}}$$

$$\Phi(\eta^{*}) = \phi_{\infty} \frac{d\Phi}{d\eta} \Big|_{\eta^{*}} = \left(1 - \frac{\delta^{2} \eta^{2}}{2}\right) \frac{\phi_{\infty}}{D_{\infty}} \tag{24}$$

where  $\eta^*$  is:

$$\eta^* = \alpha + \frac{\gamma^2}{2} \tag{25}$$

A numerical solution is required to allow for any arbitrary functions of  $p_v(\phi)$  and  $R(\phi)$ . For an initial estimate of  $\delta$ , Eq. 22 is solved using a Runge-Kutta numerical technique<sup>21</sup> from  $\eta = 0$  until  $\Phi = \phi_{\infty}$ , where  $\eta = \eta^*$ .  $\delta$  is iterated using an interval halving method until the gradient at  $\eta^*$  satisfies the fourth boundary condition.  $\alpha$  and  $\gamma$  (and therefore  $z_c$  and s) are given by rearranging Eqs. 23 and 25:

$$\alpha = \eta^* \left( 1 - \frac{\eta^* \delta^2}{2} \right)$$

$$\gamma = \eta^* \delta \tag{26}$$

The average solids concentration when the cake exits the bath,  $\phi_F$ , is given by:

$$\phi_{\rm F} = \frac{1}{z_{\rm c,F}} \int_{0}^{z_{\rm c,F}} \phi(z, t_{\rm F}) dz = \frac{1}{\eta^*} \int_{0}^{\eta^*} \Phi(\eta) d\eta$$
 (27)

 $z_{c,F}$  is the cake height when the cake exists the bath. The solids throughput, Q, is determined by the amount of cake formed while the drum is submerged:

$$Q = \omega r_{\rm d} L \int_{0}^{z_{\rm c,F}} \phi(z, t_{\rm F}) dz$$
 (28)

Substituting Eq. 27 and simplifying gives:

$$Q = \sqrt{\omega \theta_{\rm F}} r_{\rm d} L \delta \int_{0}^{\eta^*} \Phi(\eta) d\eta$$
 (29)

As the similarity solution depends only on the vacuum pressure, the material characteristics and the slurry concentration,  $\phi_{\rm F}$  is independent of the cake formation time and the throughput varies proportionally with  $\sqrt{\omega\theta_{\rm F}}r_{\rm d}L$ . The conclusion is that the theoretical maximum throughput of a vacuum drum filter is when the drum is completely submerged and rotating as fast as possible.

# Cake consolidation

When the drum lifts the cake from the feed slurry, the capillary forces at the surface of the cake cause the cake to consolidate. Cake consolidation is particularly important for very fine materials where the capillary forces are large. The solids pressure at the cake surface, and thus the surface solids concentration, is equal to the capillary pressure,  $p_{cap}(t)$ :

$$p_{s}(z_{c}(t), t) = p_{v}(\phi(z_{c}(t), t)) = p_{cap}(t)$$
 (27)

 $p_{\text{cap}}(t)$  is given by<sup>22</sup>:

$$p_{\rm cap}(t) = \frac{2\gamma_{\rm LV}}{r_{\rm eff}(t)} \tag{28}$$

where  $r_{\rm eff}(t)$  is the effective radius of curvature of liquid/air menisci at the cake surface and  $\gamma_{LV}$  is the liquid-vapor surface tension. Fluid drainage causes  $r_{\rm eff}(t)$  to decrease and  $\phi(z_{\rm c}(t),t)$ to increase.  $p_{\text{cap}}(t)$  increases until it equals the maximum capillary pressure, which is given by the Laplace-White equation (developed using thermodynamic arguments of wetting<sup>10</sup>):

$$p_{\text{cap}}^{\text{max}}(\phi) = \gamma_{\text{LV}} \cos \Theta \rho_{\text{s}} \overline{A_{\text{s}}} \frac{\phi}{1 - \phi}$$
 (29)

where  $\Theta$  is the receding solid-liquid contact angle,  $\rho_s$  is the solids density and  $\overline{A}_s$  is the solids specific surface area (m<sup>2</sup>/kg). The derivation of Eq. 29 is valid for any internal topology,

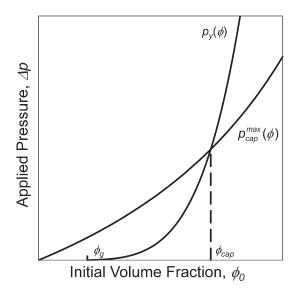


Figure 3. Compressive yield stress,  $p_v(\phi)$ , and maximum capillary pressure,  $p_{\rm cap}^{\rm max}(\phi)$  as functions of solids volume fraction,  $\phi$ .

provided that the network is uniformly packed in the horizontal direction over the length scale of the particles.

 $p_{\rm v}(\phi)$  is generally described by a power-law function of  $\phi$ with a high index, whereas  $p_{\rm cap}^{\rm max}(\phi)$  is of the order of  $\phi$  (see Figure 3). The two functions intercept at  $\phi_{\rm cap}$ , which is a critical material property that determines whether a cake will consolidate or desaturate. Below  $\phi_{\text{cap}}$ , the pressure required to desaturate the particle network exceeds the network strength and the cake preferentially consolidates rather than desaturates. The cake remains saturated until  $\phi(z_c(t),t) =$ 

During consolidation, the velocity at the top of the cake equals the bulk flow as the overall volume is conserved:

$$\frac{dz_{\rm c}}{dt} = -s(t) \tag{30}$$

Likewise, the solids velocity at the top of the cake equals the liquid velocity, such that the boundary condition at  $z_c(t)$  is:

$$\left. \frac{\partial \phi}{\partial z} \right|_{z_{c}(t)} = 0 \tag{31}$$

The conservation of solids is given by  $\phi_F$  and  $z_{c,F}$ :

$$\int_{0}^{z_{c}} \phi(z,t)dz = \int_{0}^{z_{c,F}} \phi(z,t_{F})dz = \phi_{F}z_{c,F}$$
 (32)

The governing equations for cake consolidation require a numerical solution. Using a backward difference approximation in time,  $\Delta t$ , the coupled first-order ordinary differential equations are:

$$\frac{d\phi}{dz} = \frac{\phi}{D(\phi)} (u_s - s) \tag{33}$$

$$\frac{d(\phi u_{\rm s})}{dz} = \frac{\phi - \phi^{<}}{\Delta t} \tag{34}$$

$$\frac{dI_{\phi}}{dz} = \phi \tag{35}$$

 $\phi^{<}$  is the value of  $\phi$  at the same z value at the previous time step and  $I_{\phi}$  is the cumulative solids volume fraction. The volume fraction distribution during cake consolidation is given at progressive steps of s (chosen as 0.01  $s(t_F)$ ) by solving Eqs. 33 to 35 using a Runge-Kutta numerical technique<sup>21</sup> from the membrane (where  $\phi=\phi_{\infty}$  and  $u_{\mathrm{s}}=I_{\phi}=0$ ) to the top of the cake for successive estimates of  $\Delta t$  until the conservation of solids (Eq. 32) is satisfied. For given values of s and  $\Delta t$ ,  $z_c$  is given by the trapezium rule:

$$z_{\rm c} = z_{\rm c}^{<} - \frac{\Delta t}{2} (s^{<} + s)$$
 (36)

 $z_{\rm c}^{<}$  and  $s^{<}$  are the values of  $z_{\rm c}$  and s at the previous time step. The accuracy of the numerical technique is indicated by the volume fraction gradient at  $z_c$  (Eq. 31)

If  $\phi_{\infty} > \phi_{\rm cap}$  (that is,  $\Delta p > p_{\rm y}(\phi_{\rm cap})$ ), the cake consolidates until, at time  $t_{\rm C}$ ,  $\phi(z_{\rm c},t_{\rm C})=\phi_{\rm cap}$  and the cake begins to drain (if the solution at t gives  $\phi(z_c,t) > \phi_{\text{cap}}$ , the step in s is halved until  $\phi(z_{\rm c},t_{\rm C}) \approx \phi_{\rm cap}$  to within a user defined accuracy). If  $\phi_{\infty} \leq \phi_{\text{cap}}$  (that is,  $\Delta p \leq p_{\text{y}}(\phi_{\text{cap}})$ , which is especially the case for very fine materials), the cake consolidates until it reaches the knife at  $t = t_k$ .

# Cake desaturation

Beyond  $t_{\rm C}$  if  $\Delta p > p_{\rm y}(\phi_{\rm cap})$ , the cake desaturates as the applied pressure at the air-liquid interface,  $z_f(t)$ , exceeds the maximum capillary pressure of the material and the capillary pressure is less than  $p_{v}(\phi)$ . Some fluid is retained in the necks between particles, the residual moisture content of the cake as the liquid front recedes through the porous solid phase,  $S_{\rm e}$ , is the ratio of the liquid volume to the total void volume. S<sub>e</sub> is a function of the pressure at which the solid desaturates and is therefore a material property that is a function of  $\phi$ . This description of cake desaturation ignores the effects of cracking and evaporation.

Assuming that the membrane resistance and gravity are insignificant, the solids are immobile during desaturation, such that  $u_s$  is zero and the volume fraction distribution and cake height remain at  $\phi_{\rm C}(z) = \phi(z,t_{\rm C})$  and  $z_{\rm c}(t_{\rm C}) = z_{\rm c,C}$ , respectively. The rate of filtration is determined from the solids pressure gradient and the liquid volume. The conservation of liquid volume is:

$$\int_{0}^{z_{c,C}} (1 - \phi_{C}) dz = v(t) - v_{C} + \int_{0}^{z_{f}(t)} (1 - \phi_{C}) dz + \int_{z_{f}(t)}^{z_{c,C}} S_{e}(\phi_{C}) (1 - \phi_{C}) dz$$
(37)

where  $v_{\rm C}$  is the filtrate volume at  $t_{\rm C}$ . Differentiating Eq. 37 with respect to t and rearranging gives:

$$\frac{dz_{\rm f}}{dt} = -\frac{s}{(1 - \phi_C(z_{\rm f}))(1 - S_{\rm e}(\phi_C(z_{\rm f})))}$$
(38)

With  $u_s = 0$ , the solids pressure gradient (Eq. 7) is a version of Darcy's law:

$$\frac{\partial p_{\rm s}}{\partial z} = -\phi_{\rm C} R(\phi_{\rm C}) s \tag{39}$$

Integrating Eq. 39 with respect to z from  $p_s(0) = \Delta p$  to  $p_{\rm s}(z_{\rm f}) = p_{\rm cap}^{\rm max} \; (\phi_{\rm C}(z_{\rm f}))$  and rearranging gives:

$$s = \frac{\Delta p - p_{\text{cap}}^{\text{max}}(\phi_{\text{C}}(z_{\text{f}}))}{I_{\text{R}}(z_{\text{f}})}$$
(40)

where  $I_R(z) = \int_0^z \phi_C R(\phi_C) dz$ . Substituting  $dz_f/dt$  for s from Eq. 38 gives:

$$\frac{dz_{\rm f}}{dt} = -\frac{\Delta p - p_{\rm cap}^{\rm max}(\phi_C(z_f))}{(1 - \phi_{\rm C}(z_f))(1 - S_{\rm e}(\phi_C(z_f)))I_R(z_f)}$$
(41)

Equation 41 is solved using a Runge-Kutta numerical method<sup>21</sup> in steps of  $\Delta t$  (or  $\Delta z_{\rm f}$ ) from  $z_{\rm f}(t_{\rm C})=h_{\rm C}$  until  $z_{\rm f}(t_{\rm D})$ = 0 (or  $t = t_k$ ).

The magnitude of  $dz_f/dt$  increases with time as the hydraulic resistance decreases as more fluid is displaced and approaches  $-\infty$  as  $z_f \to 0$  as  $I_R \to 0$ . Neglecting cracking,  $z_{\rm f}$  will always go to 0 if desaturation occurs as  $\Delta p > p_{\rm can}^{\rm max}$  $(\phi_{\infty})$  (as long as the combined formation, consolidation and drainage angles are less than  $2\pi - \theta_k$ ).

An important issue during drainage is that the solids volume fraction distribution remains constant at  $\phi(z,t_{\rm C})$ , but the apparent volume fraction,  $\phi_{\rm app}$  (the volume of solids as a fraction of the volume of solids and liquid, effectively the gravimetric solids fraction) increases. The overall volume of the cake is constant, but the moisture content reduces.

# Vacuum disc filter model

Figure 2 shows a schematic of a vacuum disc filter, in which the disc is divided into N concentric annuli of width  $\Delta r$ . The vacuum disc filter model uses the same formulations for cake formation, consolidation, and drainage as the drum filter model, with the dewatering equations applied to each annulus. The mid-point radius of the *n*th annulus is  $r_{d,n}$ , and the angles of cake formation, consolidation, drainage, and knife position for each annulus are  $\theta_{F,n}$ ,  $\theta_{C,n}$ ,  $\theta_{D,n}$ , and  $\theta_{k,n}$ , respectively.

 $\Delta r$  is equal to the submerged depth divided by N. By geometric arguments,  $\Delta r$  is related to the disc radius  $r_d$  and the subtended angle  $\theta_{\rm F}$  by:

$$\Delta r = \frac{r_{\rm d}}{N} \left( 1 - \cos\left(\frac{\theta_{\rm F}}{2}\right) \right) \tag{42}$$

If n = 1 is the outermost annulus and n = N is the innermost annulus, the mid-point radius of the *n*th annulus,  $r_{d.n}$ , is:

$$r_{d,n} = r_d - \Delta r \left( n - \frac{1}{2} \right) \tag{43}$$

The cake formation angle for each annulus,  $\theta_{F,n}$ , is:

$$\cos\left(\frac{\theta_{F,n}}{2}\right) = \frac{r_{d}}{r_{d,n}}\cos\left(\frac{\theta_{F}}{2}\right) \tag{44}$$

The cake formation time for each annulus,  $t_{F,n}$ , is given by  $\theta_{F,n}$  and  $\omega$  (see Eq. 1). The similarity solution,  $\Phi(\eta)$ , is independent of  $t_{F,n}$  and therefore only needs to be solved once (rather than for each annulus) for the given material properties, operating pressure, and slurry concentration.

The solids throughput for each disc face is derived from the throughput of each annulus in the limit where N goes to infinity:

$$Q = \sqrt{2\omega}\delta \left( \int_{0}^{\eta^{*}} \Phi(\eta) d\eta \right) \int_{r_{d}\cos\frac{\theta_{F}}{2}}^{r_{d}} r \sqrt{\cos^{-1}\left(\frac{r_{d}}{r}\cos\frac{\theta_{F}}{2}\right)} dr$$

$$\tag{45}$$

The second integral in Eq. 45 is solved using numerical techniques such as the trapezoidal rule or Simpson's rule. Note that a two-faced disc has a total solids throughput of 2Q and a bank of M two-faced discs has a total solids throughput of 2MQ.

As with the drum filter model,  $\phi_{F,n}$  is independent of the cake formation time and the throughput varies proportionally with  $\sqrt{\omega}$ , such that the maximum throughput is when the disc is rotating as fast as possible. The variation of Q with  $r_{\rm d}$  and  $\theta_{\rm F}$  is shown in the results and discussion section.

# **Materials and Methods**

A program was written in Mathematica® to solve the problem as outlined in the formulations above. Arbitrary material properties for  $p_y(\phi)$ ,  $R(\phi)$ ,  $p_{\text{cap}}^{\text{max}}$   $(\phi)$ , and  $S_e(\phi)$  were used for the purpose of illustrating the model formulation:

$$p_{\rm y}(\phi) = 100 \left[ \left( \frac{\phi}{\phi_{\rm g}} \right)^5 - 1 \right] \text{Pa}, \quad \text{where } \phi_{\rm g} = 0.15 \, {\rm v/v} \quad (46)$$

$$R(\phi) = 10^8 (1 - \phi)^{-5.5} \,\text{Pa}\,\text{s/m}^2$$
 (47)

$$p_{\text{cap}}^{\text{max}}(\phi) = 7500 \frac{\phi}{1 - \phi} \text{ Pa}$$
 (48)

$$S_{\rm e}(\phi) = 0 \tag{49}$$

For these properties,  $\phi_{\text{cap}} = 0.3029 \text{ v/v}$  and  $p_{\text{y}}(\phi_{\text{cap}}) = 3259$ Pa. The vacuum drum and disc models were used to give predictions for different operating parameters of  $\theta_{\rm F}$ ,  $\omega$ ,  $\Delta p$ , and  $\phi_0$ . The drum/disc dimensions were the same in all the simulations ( $r_d = 0.5$  m and, for the drum filter, L = 1 m).

#### **Results and Discussion**

# Vacuum drum filter

To illustrate the full solution of the numerical model, the volume fraction distribution and height vs. time results for

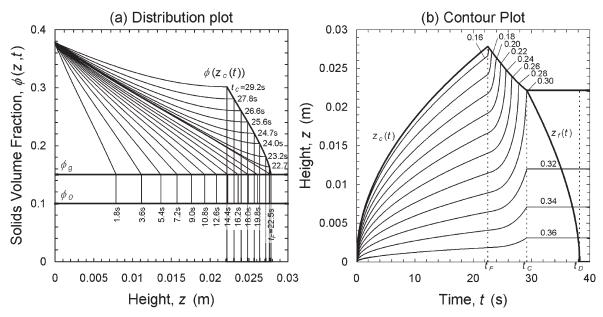


Figure 4. Vacuum drum filter modeling results (a) distribution plot; (b) contour plot ( $\theta_F = 3\pi/4$  rad,  $\omega = \pi/30$  rad/s,  $\Delta p = 10$  kPa, and  $\phi_0 = 0.1$  v/v).

 $\theta_{\rm F}=3\pi/4$  rad,  $\omega=\pi/30$  rad/s (1 rpm),  $\Delta p=10$  kPa, and  $\phi_0 = 0.1$  v/v are presented in Figure 4. At this pressure,  $\phi_{\infty}$ = 0.3775 v/v. The results show the build-up of cake on the membrane during cake formation. The volume fraction is  $\phi_{\infty}$  at the membrane,  $\phi_{\rm g}$  at the top of the cake, and  $\phi_{\rm 0}$  in the slurry bath. Cake formation proceeds until  $t_{\rm F}=22.5~{\rm s}.$ Beyond  $t_F$ , the cake consolidates with the concentration at the top of the cake increasing from  $\phi_{\rm g}$  to  $\phi_{\rm cap}$  at  $t_{\rm C}=29.2$ s.  $d\phi/dz$  approaches 0 at  $z_c$ . After  $t_c$ , the liquid front recedes into the immobile solids until  $t_D = 38.2$  s.

The vacuum drum model was used to investigate the effect of varying the operating parameters. The results for the various angles of consolidation and drainage ( $\theta_{\rm C}$  and  $\theta_{\rm D}$ , respectively) with angular velocity,  $\omega$ , at  $\theta_{\rm F}=\pi/2$  rad,  $\Delta p$ = 10 kPa, and  $\phi_0$  = 0.1 v/v are presented in Figure 5. The results indicate that  $\theta_{\rm C}$  and  $\theta_{\rm D}$  for a given  $\theta_{\rm F}$  are independent of  $\omega$ , which is an important consequence of the similarity solution for cake formation. The cake height is inversely proportional to the square-root of  $\omega$  (as  $t_{\rm F}$  is inversely proportionally with  $\omega$ ), but so are the consolidation and drainage times (as the rates are all governed by the same material property, the solid-liquid drag), such that angles remain constant. As Q increases with  $\omega$ , the drum should be operated at maximum speed because it will not affect the quality of the cake. Note that the drainage time was derived assuming no cracking or percolation through the desaturated network which may introduce other rate-determining factors such that  $\theta_{\rm D}$  may be a function of  $\omega$ . In this case, the above conclusion will not hold when  $\Delta p > p_{\rm y}(\phi_{\rm cap})$  (that is, for coarse materials) or  $\theta_F + \theta_C < 2\pi - \theta_k$ .

The operator of a rotary filter plant can have the option of setting the depth of the slurry bath or equivalently the height of the drum. Figure 6 shows the drum filter results for the variation of  $\theta_{\rm C}$  and  $\theta_{\rm D}$  with  $\theta_{\rm F}$ .  $\theta_{\rm C}$  and  $\theta_{\rm D}$  vary linearly with  $\theta_{\rm F}$  until the knife comes into effect (in this case,  $\theta_{\rm k}=0$ such that the knife is at the surface of the slurry bath). The linear variation stems from the same reason as the independence of  $\theta_{\rm C}$  and  $\theta_{\rm D}$  with  $\omega$ . The combined formation, consolidation, and drainage angles must be less than or equal to  $2\pi$  $-\theta_{\rm k}$  rads, such that  $\theta_{\rm D}$  begins to fall once  $\theta_{\rm F}$  and  $\theta_{\rm C}$  are greater than  $2\pi - \theta_D - \theta_k$  rads (at  $\theta_F = 3.71$  rad). Likewise, once  $\theta_{\rm D}=0$  (at  $\theta_{\rm F}=4.86$  rad),  $\theta_{\rm C}$  also begins to decrease.

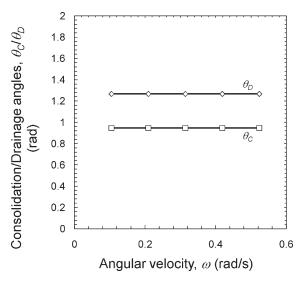


Figure 5. Vacuum drum filter modeling results of consolidation and drainage angles as functions of angular velocity ( $\theta_F = \pi/2 \text{ rad}$ ,  $\Delta p = 10$ kPa, and  $\phi_0 = 0.1 \text{ v/v}$ ).

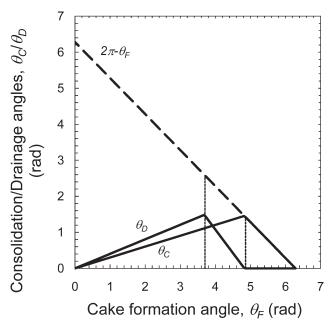


Figure 6. Vacuum drum filter modeling results of consolidation and drainage angles as functions of cake formation angle ( $\omega=\pi/30$  rad/s,  $\Delta p=10$  kPa,  $\phi_0=0.1$  v/v, and  $\theta_{\rm k}=0$ ).

The effect of the variation of  $\theta_{\rm F}$  on Q,  $\phi_{\rm C}$ , and  $\phi_{\rm app}$  is shown in Figure 7. Q varies with the square-root of  $\theta_{\rm F}$ . For  $\theta_{\rm F}$  greater than 4.86 rad, the cake is saturated (that is,  $\theta_{\rm D}=0$ ) and the average volume fraction reduces with increasing  $\theta_{\rm F}$  as there is less time for consolidation. Below this value,  $\theta_{\rm C}$  is constant, therefore  $\phi_{\rm C}$  is constant. For 3.71  $<\theta_{\rm F}<4.86$ ,  $\phi_{\rm app}$  varies between  $\phi_{\rm C}$  and 1 due to incomplete drainage. As  $S_{\rm e}$  is set to 0,  $\phi_{\rm app}$  goes to 1 as all the liquid is drained from the cake. This value of  $S_{\rm e}$  is not realistic as

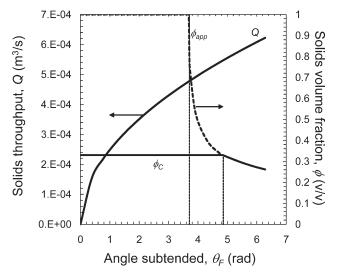


Figure 7. Vacuum drum filter modeling results of solids throughput (*Q*) and average ( $\phi_{\rm C}$ ) and apparent ( $\phi_{\rm app}$ ) solids volume fraction as functions of angle subtended ( $\omega=\pi/30$  rad/s,  $\Delta p=10$  kPa,  $\phi_0=0.1$  v/v, and  $\theta_{\rm k}=0$ ).

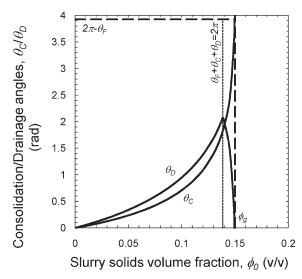


Figure 8. Vacuum drum filter modeling results of consolidation and drainage angles as functions of slurry solids volume fraction ( $\theta_{\rm F}=3\pi/4$  rad,  $\omega=\pi/30$  rad/s,  $\Delta p=10$  kPa, and  $\theta_{\rm k}=0$ ).

there will be some liquid retained in the cake, but it illustrates the important difference between volume and weight fraction for desaturated cakes. The optimum slurry depth for the lowest cake moisture content at the highest throughput is when  $\theta_D$  is greatest ( $\theta_F + \theta_C + \theta_D + \theta_k = 2\pi$  rads);  $\theta_F = 3.71$  rads in this case.

The feed solids to the filter will naturally vary in any operation. The variation of the consolidation and drainage angles with  $\phi_0$  varying from 0 to  $\phi_g$  is shown in Figure 8.  $\theta_C$  and  $\theta_D$  increase with  $\phi_0$  at a given  $\theta_F$  since more cake is formed.  $\theta_D$  increases until  $\theta_C + \theta_D = 2\pi - \theta_F - \theta_k$  ( $\phi_0 = 0.1385 \text{ v/v}$ ), beyond which incomplete drainage is seen.  $\theta_C$  continues to rise until equal to  $2\pi - \theta_F - \theta_k$ , which, in this case, is close to  $\phi_g$ .  $\theta_C$  and  $\theta_D$  begin to asymptote as  $\phi_0 \rightarrow \phi_g$  due to the assumption that all networked material is in the cake that is lifted out of the bath. Consequently, when  $\phi_0 = \phi_g$ , all of the slurry in the bath is in the cake.

Figure 9 shows Q as a function of  $\phi_0$  for three pressures. Q grows monotonically with  $\phi_0$ . The conclusion is that the best operating condition is when  $\phi_0$  is highest, allowing for geometrical considerations to allow for complete drainage. Q asymptotes to infinity as  $\phi_0 \rightarrow \phi_g$  due to the assumption that all material above the gel point is in the cake. The pressure variation of Q in Figure 9 allows quantification of the possible improvement in throughput by raising the vacuum pressure against the cost of the additional pressure. For example, increasing the vacuum pressure from 5 to 20 kPa at  $\phi_0 = 0.1$  v/v increases the throughput by 28%.

The results for the variation of the consolidation and drainage angles with pressure are shown in Figure 10. For vacuum pressures below  $p_y(\phi_{\rm cap})=3259$  Pa, there is no drainage because the pressure is insufficient to overcome the capillary pressure at the top of the cake. This is an important result for very fine materials where  $p_{\rm cap}^{\rm max}(\phi)$  is large. For pressures greater than  $p_y(\phi_{\rm cap})$ , the drainage angle is not

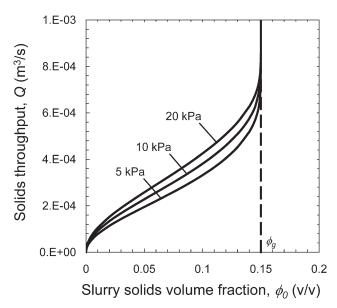


Figure 9. Vacuum drum filter modeling results of solids throughput as a function of slurry solids volume fraction ( $\theta_{\rm F}=3\pi/4$  rad,  $\omega=\pi/30$  rad/s,  $\Delta p=10$ , 5 and 20 kPa, and  $\theta_{\rm k}=0$ ).

zero, and the sum of  $\theta_{\rm C}$  and  $\theta_{\rm D}$  is  $2\pi-\theta_{\rm F}$ . Above a certain pressure (4680 Pa in this case), the cake completely drains before reaching the knife.

# Vacuum disc filter

A schematic of the predictions of the vacuum disc filter model is shown in Figure 11. The angles of cake consolidation and drainage vary proportionally with the angle of cake formation, such that the degree of dewatering varies with ra-

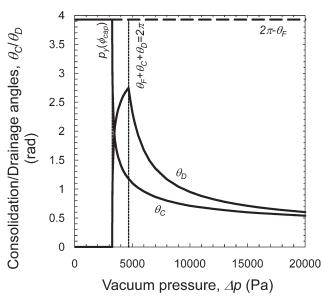


Figure 10. Vacuum drum filter modeling results of consolidation and drainage angles as functions of applied pressure ( $\theta_{\rm F}=3\pi/4$  rad,  $\omega=\pi/30$  rad/s,  $\phi_0=0.1$  v/v, and  $\theta_{\rm k}=0$ ).

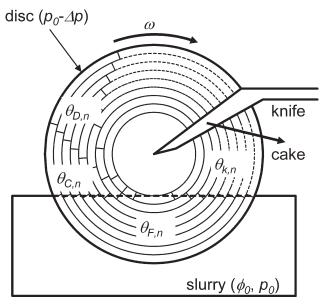


Figure 11. Schematic of vacuum disc filter divided into eight segments, showing cake formation, consolidation and drainage for each segment ( $N=8,~\theta_{\rm F}=3\pi/4~{\rm rad},~\Delta p=10~{\rm kPa},$  and  $\phi_{\rm O}=0.1~{\rm v/v}).$ 

dius. The outermost annulus requires the most distance, whereas the innermost annulus requires very little consolidation and drainage time. To reduce the chance of cake cracking, the knife edge should be a function of radius. In the limit where  $\Delta r$  goes to zero, material at the surface of the slurry bath  $(r=r_{\rm d}{\rm cos}(\theta_{\rm F}/2))$  is infinitely thin and the volume fraction is at  $\phi_{\rm g}$ .  $\theta_{\rm C}$  and  $\theta_{\rm D}$  at this radius are zero. The consequence of this is to either have the knife at the slurry surface at  $\theta_{\rm F}/2$ , or to incorporate a nonfiltering disc that is partly submerged, eliminating the inner annuli.

The arbitrary decision of the number of annuli affects the accuracy of the cake profile.  $z_{c,F}$  varies with the square-root of time as shown by the similarity solution (see Eq. 18) and is therefore related to the radius through Eqs. 1 and 44. The error in the approximation falls progressively with higher values of N: in the example used here, the throughput for N = 2 is within 1.0% of the true value; for N = 16, the throughput is within 0.10%, and for N = 32, it is within 0.05%. Obviously, using more annuli improves the accuracy but more computing time is required.

The throughput of a disc filter is given by Eq. 45, which states explicitly that Q varies proportionally with  $\sqrt{\omega}$ . The integral component on the right-hand side of Eq. 45 has been evaluated numerically for a range of  $r_{\rm d}$  and  $\theta_{\rm F}$  values, and the results shown in Figure 12. The throughput varies exactly with  $r_{\rm d}^2$ , which is expected as the cake forms on the area of the disc. In comparison, Q varies linearly with  $r_{\rm d}$  for the drum filter as the cake forms on the circumference. Q increases monotonically with  $\theta_{\rm F}$  (although not with  $\sqrt{\theta_{\rm F}}$  as for the drum filter), such that the maximum throughput of a vacuum disc filter is when the disc is half submerged ( $\theta_{\rm F}=\pi$ ).  $\theta_{\rm F}=\pi$  is the maximum subtended angle as greater angles would result in material never emerging from the slurry bath.

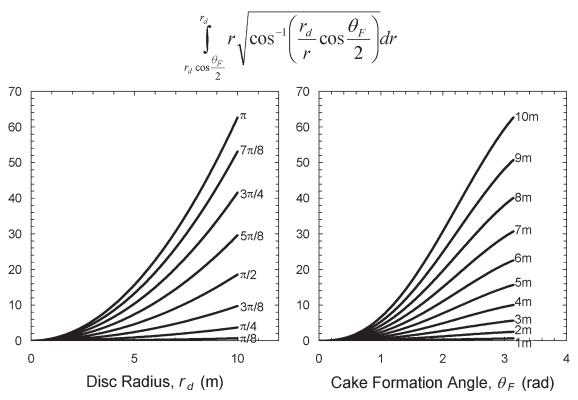


Figure 12. Integral component of solids throughput, Q (see Eq. 45), as a function of the disc radius,  $r_d$ , and the angle subtended,  $\theta_{\rm F}$  for a disc filter.

#### Conclusions

This work details the formulation of models for rotary vacuum drum and disc filters using compressional rheology theory. The conservation of mass and momentum equations are given in one-dimension and rearranged to give the governing equation for pressure filtration. The initial and boundary conditions for cake formation, consolidation, and drainage are outlined and used to give solutions for each process. The disc filter model uses the drum filter formulation applied to concentric annuli.

Assuming that the membrane resistance and the hydrostatic pressure are insignificant, an exact similarity solution exists for cake formation (analogous to dead-end filtration) in which the cake height and filtrate volume vary with the square-root of time. A consequence of the similarity solution is that the drum filter throughput varies with  $\sqrt{\omega \theta_F} r_d L$ , and the disc filter throughput varies with  $\sqrt{\omega}r_{\rm d}^2$  and increases monotonically with  $\theta_{\rm F}$ . Once cake is removed from the slurry bath, it undergoes consolidation and drainage. The extent of each process is determined by the  $p_{\rm v}(\phi)$  and  $p_{\rm can}^{\rm max}$  $(\phi)$  material properties—if the yield stress is less than the capillary pressure, the network will preferentially compress rather than desaturate, and vice versa if the capillary pressure is less than the yield stress. A numerical scheme is outlined to solve the governing equations for cake consolidation-this work represents the first description of cake compression in rotary filters. The models are used to give predictions of performance for a range of operating conditions.

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# **Notation**

#### Roman letters

 $\overline{A}_s$  = specific surface area of solids (m<sup>2</sup>/kg)  $D(\phi) = \text{solids diffusivity (m}^2/\text{s})$ L = drum length (m) $I_{\phi}$ ,  $I_{R}$  = integrals of  $\phi$  and  $\phi R(\phi)$ M = number of discsN = number of concentric annuli for disc model n = annulus numberp = pressure (Pa) $p_y(\phi) = \text{compressive yield stress (Pa)}$  $p_{\text{cap}}^{\text{max}}(\phi) = \text{maximum capillary pressure (Pa)}$ Q =solids throughput (m $^3$ /s)  $R(\phi)$  = hindered settling function (Pas/m<sup>2</sup>)  $r_{\rm d} = \text{drum/disc radius (m)}$  $r_{\rm eff} = {\rm effective\ radius\ of\ curvature\ (m)}$  $S_{\rm e} = {\rm residual \ saturation}$ s = suspension flux (m/s)

z = normal coordinate (m)

t = time (s)

u = velocity (m/s)

X = similarity variable

v = specific filtrate volume (m)

 $\alpha = \text{similarity solution constant for } s(t) \text{ (m}^2/\text{s)}$  $\delta = \text{similarity solution constant}$ 

Published on behalf of the AIChE April 2011 Vol. 57, No. 4  $\Delta p = \text{vacuum pressure (Pa)}$ 

 $\Delta t = \text{time step (s)}$ 

 $\phi$ ,  $\Phi$  = solids volume fraction (v/v)

 $\gamma = \text{similarity solution constant for } z_c(t) \text{ (m/s}^{1/2})$ 

 $\gamma_{LV}$  = liquid-vapor surface tension (N/m)

 $\eta = \text{similarity variable (m}^2/\text{s})$ 

 $\eta^* = \text{similarity solution constant } (\text{m}^2/\text{s})$ 

 $\theta$  = angle (rad)

 $\Theta =$ solid-liquid contact angle (rad)

 $\rho_{\rm s} = {\rm solids \ density \ (kg/m^3)}$ 

 $\omega = \text{angular speed (rad/s)}$ 

# Superscripts/subscripts

< = value at previous time step

 $_0 = initial$ 

app = apparent

c = cake

 $_{cap} = capillary$ 

 $_{\rm C}$  = consolidation

 $_{\mathrm{D}}=drainage$ 

 $_{f}=fluid \\$ 

 $_{\rm F}=$  formation

g = gel point

k = knife

 $_{1} = liquid$ 

 $_{\rm s}=\bar{\rm solid}$ 

 $_{\infty}=$  equilibrium

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